

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Alexander T. SCHWARM

Serial No. 10/765,921

Filed: January 29, 2004

A circular black ink stamp from the Office of Intellectual Property (OIP). The text "OIP" is at the top, "JC25" is at the top right, "DEC 07 2004" is in the center, and "PATENT & TRADEMARK OFFICE" is at the bottom.

Group Art Unit: 2125

Examiner:

For: **SYSTEM, METHOD, AND MEDIUM FOR MONITORING PERFORMANCE OF AN
ADVANCED PROCESS CONTROL SYSTEM**

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Copies of any cited U.S. Patents and U.S. Patent Publications are not being submitted in accordance with 37 CFR 1.98(a)(2)(i).

This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

In accordance with 37 C.F.R. § 1.97(g) and (h), the filing of this IDS should not be construed as a representation that a search had been made or that information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56 (b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of

publication indicated for an item is taken from the face of the item, and Applicant reserves the right to prove that the date of publication is in fact different.

No fee is believed to be required; however, the Commissioner is authorized to charge any deficiency in any fees pursuant to 37 CFR § 1.17 associated with this communication and to credit any excess payment to Deposit Account No. 08-0219.


Respectfully submitted,

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)				ATTY. DOCKET NO. 007733 USA/ FPS/MMCS/APC		SERIAL NO. 10/765,921	
				APPLICANT Alexander T. SCHWARM			
				FILING DATE January 29, 2004		GROUP 2125	
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
	6,381,564 B1	04/30/02	David et al.			05/03/99	
	2002/0183986 A1	12/05/02	Stewart et al.			05/30/01	
	2003/0154062 A1	08/14/03	Daft et al.			10/15/01	
	6,529,789 B1	03/04/03	Campbell et al.			03/14/02	
	6,774,998 B1	08/10/04	Wright et al.			12/27/01	
	6,751,518 B1	06/15/04	Sonderman et al.			04/29/02	
	6,678,570 B1	01/13/04	Pasady et al.			06/26/01	
	6,735,492 B2	05/11/04	Conrad et al.			07/19/02	
EXAMINER				DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<p style="text-align: center;">INFORMATION DISCLOSURE CITATION IN AN APPLICATION</p> <p style="text-align: center;">(PTO-1449)</p> <div style="text-align: center;">  </div>	<p>ATTY. DOCKET NO. 007733 USA/ FPS/MMCS/APC</p>	<p>SERIAL NO. 10/765,921</p>
	<p>APPLICANT Alexander T. SCHWARM</p>	
	<p>FILING DATE January 29, 2004</p>	<p>GROUP 2125</p>
<p style="text-align: center;">OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</p>		
	<p>September 15, 2004. Office Action for U.S. Serial No. 10/632,107, filed August 1, 2003.</p>	
	<p>September 29, 2004. Office Action for U.S. Serial No. 09/363,966, filed July 29, 1999.</p>	
	<p>October 1, 2004. International Preliminary Examination Report for PCT Serial No. PCT/US03/23964.</p>	
	<p>October 6, 2004. Office Action for U.S. Serial No. 10/759,108, filed January 20, 2004.</p>	
	<p>October 12, 2004. International Preliminary Examination Report for PCT Serial No. PCT/US02/19061.</p>	
	<p>November 17, 2004. Written Opinion for PCT Serial No. PCT/US01/27407.</p>	
EXAMINER	DATE CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.